



Due Diligence

Practical Insight. Strategic Risk Management. Deal Confidence.

Informed decision-making demands more than just a financial review. Whether you're preparing for a merger, acquisition, investment, lending transaction, or strategic partnership, Hinshaw helps you uncover hidden risks and identify critical opportunities through focused, efficient, and goal-aligned due diligence.

We guide clients through every stage of the diligence process, including regulatory compliance, corporate governance, licensing, contracts, litigation exposure, employment practices, data privacy, intellectual property, and environmental risk. Our team understands the nuances of both deal structure and industry-specific obligations, including the impact of supervisory exams, control change filings, and other regulatory triggers.

Clients in highly regulated sectors such as financial services, healthcare, consumer credit, and insurance rely on Hinshaw's due diligence counsel to protect transactions and preserve long-term business value. From conducting a one-off diligence review to building internal compliance infrastructure to support ongoing acquisition strategies, we help clients approach every deal with clarity and confidence.

Regulatory-Focused: We bring deep experience in navigating federal and state licensure, supervisory examinations, and compliance frameworks, particularly in financial services and healthcare.

Transactional Alignment: Our teams work in sync with deal counsel, private equity firms, lenders, and in-house legal departments to streamline diligence and prioritize material risk.

Industry Insight: With a national footprint and cross-disciplinary bench, we customize diligence teams to match your industry and risk profile—from fintech and lending to healthcare and insurance.

Efficiency Without Shortcuts: We respect your timelines and budget, delivering practical recommendations that support informed decisions without slowing down the deal.

Areas of Focus

Change of Control & Licensing Compliance

We help clients assess and plan for regulatory implications triggered by changes in ownership or control. Our due diligence reviews cover license transfers, notification and approval requirements, and state-by-state variances to

ensure smooth transitions and minimize business disruption.

Consumer Credit Program Review

Hinshaw attorneys assist in evaluating consumer lending and servicing operations, including documentation used throughout the credit lifecycle from application and disclosure to servicing, collections, and collateral disposition. We flag regulatory concerns and offer recommendations for aligning practices with applicable state and federal laws.

Policy, Procedure & CMS Evaluation

We conduct targeted reviews of compliance management systems (CMS) to identify weaknesses in policies, procedures, training, and internal controls. Our attorneys provide practical recommendations for remediation and help clients strengthen their compliance culture in preparation for exams or audits.

Regulatory Due Diligence in Financial Services

We advise clients on compliance risks and regulatory obligations associated with mergers, acquisitions, investments, and strategic partnerships in the financial services space. Our team evaluates licensing status, supervisory history, enforcement exposure, and the target's overall compliance management structure, providing risk-calibrated insights that drive decision making.

Third-Party Risk & Vendor Management

We assess the due diligence processes used to onboard, monitor, and manage third-party vendors and partners. Our work includes reviewing vendor contracts, compliance policies, data security provisions, and oversight mechanisms to identify potential gaps and reduce risk exposure.

Confidence Begins with Clarity

Whether you're on the buy-side, sell-side, or evaluating strategic partnerships, due diligence is your first line of defense—and your greatest opportunity to shape successful outcomes. At Hinshaw, we deliver more than checklists. We deliver clarity, precision, and actionable insights that help you close deals with confidence and build stronger, more resilient businesses.

Insights

Press Release

Jul 30, 2025

Steven Cronig Named to *Attorney Intel*'s Top 25 Real Estate and Hospitality Attorneys of 2025

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